PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

			HE UNITED STATE	ES
DESIGN	ATED/E	<b>LECTED OF</b>	FFICE (DO/EO/US)	
CONCERNIN	NG A SL	<b>IBMISSION</b>	UNDER 35 U.S.C.	371

ATTORNEY'S DOCKET NUMBER

118989-05157378

U.S. ARPLIGATION NO. (I) KNOWD, see 37 CFR 1.5)

CONCERNING A SUBMISSIO	unas and JULIL				
NTERNATIONAL APPLICATION NO. PCT/NL2004/000286	INTERNATIONAL FILING DATE 29 April 2004	PRIORITY DATE CLAIMED 2 July 2003			
TITLE OF INVENTION					
Artificial Throat  APPLICANT(S) FOR DO/EO/US					
DAVIDSON Peter					
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/E	O/US) the following items and other information:			
1. X This is a FIRST submission of items co	oncerning a submission under 35 U.S.C. 37	<b>11.</b>			
2. This is a SECOND or SUBSEQUENT s	submission of items concerning a submissi	on under 35 U.S.C. 371.			
3. This is an express request to begin nat (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 3	71(f)). The submission must include items			
4. The US has been elected (Article 31).		•			
5. A copy of the International Application					
a. is attached hereto (required	d only if not communicated by the Internati	onal Bureau).			
b. X has been communicated b					
c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the	ne International Application as filed (35 U.S	S.C. 371(c)(2)).			
a. is attached hereto.					
	nitted under 35 U.S.C. 154(d)(4).				
	ternational Application under PCT Article 1				
a. are attached hereto (requ	uired only if not communicated by the Inter	national Bureau).			
	d by the International Bureau.				
c. have not been made; ho	wever, the time limit for making such amer	dments has NOT expired.			
d. have not been made and					
8. An English language translation of	the amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).			
9. An oath or declaration of the invent					
10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminar	y Examination Report under PCT			
Items 11 to 20 below concern document	(s) or information included:				
11. An Information Disclosure Stateme					
12. An assignment document for record	ding. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.			
13. A preliminary amendment.	A preliminary amendment.				
14. An Application Data Sheet under 3	7 CFR 1.76.				
15. A substitute specification.					
16. A power of attorney and/or change					
17. A computer-readable form of the s	equence listing in accordance with PCT Ru	ıle 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.			
- I	ternational Application under 35 U.S.C. 154				
19. A second copy of the English lang	uage translation of the international applica	ation under 35 U.S.C. 154(d)(4).			
20. Other items or information:		handish who public which is to file (and			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

c'apotipto 30 dec Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) unassigned PCT/NL2004/000286 118989-05157378 PTO USE ONLY CALCULATIONS . .... \$300 21. Basic national fee 300.00 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 200.00 PCT Article 33(1)-(4) All other situations ..\$200 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office \$400 500.00 \$500 All other situations \$ 1,000.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE Number of each additional 50 or fraction Extra Sheets Total Sheets thereof (round up to a whole number) 0.00 x \$250 0 /50 = -100 =Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(h)). \$ RATE NUMBER FILED NUMBER EXTRA **CLAIMS** \$ x \$50 350.00 - 20 = Total claims 7 27 \$ x \$200 0.00 - 3 = Independent claims 2 O \$360 \$ 0.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = 1,350.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 0.00 1,350.00 \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 0.00 \$ claimed priority date (37 CFR 1.492(i)). 1,350.00 \$ TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 0.00 \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,350.00 Amount to be \$ refunded: Amount to be charged: to cover the above fees is enclosed. A check in the amount of \$ \_ Please charge my Deposit Account No. <u>503-121</u> in the amount of \$ <u>1,350.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit c. |X| Account No. 503-121 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Mayer Brown Rowe & Maw LLP IGNATURE Intellectual Property Department John E. Mauk NAME 1909 K Street, N.W. 54.579 Washington, D.C. 20006 REGISTRATION NUMBER

(202) 263-3000 Telephone (202) 263-3300 Facsimile